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PTO/SB/21 (6-98)

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Valid OMB Confrontinios.	Application Number	09/708,863		
TRANSMITTAL	Filing Date	11/8/2000		
FORM	First Named Inventor	CHRISTOPHER L. AARDAHL ET AL.		
(to be used for all correspondence after initial filin	g) Group Art Unit	3748		
	Examiner Name			
Total Number of Pages in This Submission	Attorney Docket Number	91 00 - 508		
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Fee Transmittal Form  Ass (for	ignment Papers an Application)	After Allowance Communication to Group		
Fee Attached Dra	wing(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response Lice	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Pet	ition Routing Slip (PTO/SB/69) Accompanying Petition	Proprietary Information		
	ition to Convert to a visional Application	Status Letter		
Extension of Time Request Ch.	wer of Attorney, Revocation ange of Correspondence dress	Additional Enclosure(s) (please identify below)		
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Document(s) Remarks  Response to Missing Parts/				
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	PLICANT, ATTORNEY, OF	RAGENT		
Firm or John W. Morrison, Ro Individualname				
Signature ( )				
Date February 6, 2001				
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John W. Morrison

Typed or printed name

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## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Group Art Unit: 3748

CHRISTOPHER L. AARDAHL, ET AL.

Application Number: 09/708,863

Filed: November 8, 2000

Title: METHOD AND SYSTEM FOR THE

COMBINATION OF NON-THERMAL PLASMA AND METAL/METAL

OXIDE DOPED Y-ALUMINA

CATALYSTS FOR DIESEL ENGINE )

EXHAUST AFTERTREATMENT

SYSTEM

Attorney Docket No.: 00-508

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Peoria, Illinois 61629-6490

February 6, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

Respectfully submixted,

John W. Morrison

Registration No. 44,245

Caterpillar Inc.

Telephone: (309) 494-1815

JWM:vlm

Enc.

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- [				2001 5	Application Number	09/708,863
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t	Sheet	1	of	2	Attorney Docket Number	00-508

				U.S. PATENT DOCU	MENTS	
Examiner Initials	Cite No.1	U.S. Patent I	Document  Kind Code <sup>2</sup> (itknown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines. Where Relevant Passages or Relevant Figures Appear
		5,609,736		ОТОМАМАҮ	3-11-1997	
		5,711,147		VOGTLIN ET AL.	1-27-1998	
		5,746,984		HOARD	5-5-1998	
		5,891,409		HSIAO ET AL.	4-6-1999	
		5,893,267		VOGTLIN ET AL.	4-13-1999	
		6,038,853		PENETRANTE ET AL.	3-21-2000	
		6,038,854		PENETRANTE ET AL.	3-21-2000	
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	FOREIGN PATENT DOCUMENTS									
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-fetter ccide (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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			TRADE	Application Number	09/708,863		
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Sheet	2	of	2	Attorney Docket Number	00-508		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	L5
		"Promotive Effect of Sn on the Catalytic Activity of Al203 for the Selective Reduction of NO by Methanol", Tabata et al., Catalysis Lettters 25 (1994), pgs. 55-60, J. C. Baltzer AG, Science Publishers	
$\sqrt{}$		"Ga203/Al20e Prepared by Sol-Gel Method as a Highly Active Metal Oxide-Based Catalyst for NO Reduction by Propene in the Presence of Oxygen, H2O and SO2", Haneda et al., Chemistry Letters 1998, pgs. 181-182, Chemistry Letters 1998, The Chemical Society of Japan, 1998	
J		"Lean NOx Catalysis over Sn/y-Al2O3 Catalysts", Kung et al., Journal of Catalysis 181, 1999, pgs 1-5, Academic Press, 1999	
V		"Influence of local structure on the catalytic activity of gallium oxide for the selective reduction of NO by CH4", Shimizu et al., pgs. 1827-1828, Chem. Commun., 1996	
<u> </u>		"Activity enhancement of SnO2-doped GaZO3-Al2O3 catalysts by coexisting H2O for the selective reduction of NO with propene", Haneda et al., Applied Catalysis B: Environmental 20 1999, pgs. 289-300, Elsevier Science B.V. 1999	
		"Selective Reduction of Nitrogen Monoxide with Hydrocarbons over SnO2 Catalyst", Teraoka et al., Chemistry Letters 1993, pgs 773-776, The Chemical Society of Japan, 1993	
<b>√</b>		"Alumina-supported Catalysts for the Selective Reduction of Nitric Oxide by Propene", Miyadera et al., Chemistry Letters 1993, pgs 1483-1486, The Chemical Society of Japan 1993	
J		"Characterization of SnO2/Al2O3 Lean NOx Catalysts", Park et al., Journal of Catalysis 134, 1999, pgs 440-454, Academic Press 1999	_
<del></del>		"Enhanced activity of In and Ga-supported sol-gel alumina catalysts for NO reduction by hydrocarbons in lean conditions", Applied Catalysis B: Environmental 15 1998, pgs 291-304, Elsevier Science B.V. 1998	
<b>√</b>		"Plasma-Enhanced Catalytic Reduction of NOx in Simulated Lean Exhaust", Aardahl et al., Society of Automotive Engineers, Inc. 2000	_
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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